10/15/2003 11:14 FAX 216 696 8731

AMÍN, & TUROCY LLPICWSCS 15 OCT 2003 2001

PATENT

M\$303530.1

### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being faxed to 703-746-9195 on the date shown below to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: 10-15-03

Himanshu S. Amin

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of:

Applicant(s):

Eric J. Horvitz, et al.

Examiner:

Unknown

Serial No:

10/610,486

Art Unit:

2643

Filing Date:

June 30, 2003

Title:

IDEAL TRANSFER OF CALL HANDLING FROM AUTOMATED SYSTEMS TO HUMAN OPERATORS BASED ON FORECASTS OF

AUTOMATION EFFICACY AND OPERATOR LOAD

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. There is an error with respect to the following data, which is incorrectly entered:

The corrected data should be:

Filing Date: June 30, 2003

Attached is a copy of a postcard receipt notifying the filing date of June 30, 2003, with a date-in stamp.

10/610,486

M\$303530.1

The correction is not due to any error by applicant and no fee is believed to be due.

In the event any fees are due in connection with this document, the Commissioner is authorized to charge those fees to Deposit Account No. 50-1063.

Respectfully submitted,

AMIN & TUROCY, LLP

Himanshu S. Amin Reg. No. 40,894

AMIN & TUROCY, LLP 24<sup>TH</sup> Floor, National City Center 1900 E. 9<sup>TH</sup> Street Cleveland, Ohio 44114 Telephone (216) 696-8730 Facsimile (216) 696-8731



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS P.C. Box 1450 Alexandra, Yogans 22313-1410

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	١
10/610,486	07/01/2003	2643	1056	MS303530.1 / MSFTP471US	10	37	3	•

27195 AMIN & TUROCY, LLP 24TH FLOOR, NATIONAL CITY CENTER 1900 EAST NINTH STREET CLEVELAND, OH 44114 CONFIRMATION NO. 5347
FILING RECEIPT
\*OC00000010944884\*

Date Mailed: 09/30/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eric J. Horvitz, Kirkland, WA; Timothy S. Paek, Issaguah, WA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 09/29/2003

Projected Publication Date: 01/06/2005

Non-Publication Request: No

Early Publication Request: No

Title

Ideal transfer of call handling from automated systems to human operators based on forecasts of automation efficacy and operator load

**Preliminary Class** 

Page 2 of 2

379

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

		• •	
Applicant(s) Eric J. Horvitz, et al.	Docket No. MS	3303530.1 / MSFTP471US	_
Serial No.	Filing Date Herewith	Atty(s) HSA	~ °
Title IDEAL TRANSFER	OF CALL HANDLING FR	OM AUTOMATED	L g (
Mailed 6-30-03 Due Date	Exp. Mail I	No. EV33002095IUS	~ ~ .
	· · · · · · · · · · · · · · · · · · ·		<b>4</b> *3
☑ Patent Application (☑ New ☐ CO	N DDIV D CIP D CPA [	PCT Provisional)	<u> </u>
☑ Transmittal/Request	Declaration/POA		<b>∵</b> ≩ •
27 Pages of Specification	Assgn. & Cover Sheet		<b>&gt;</b> ~
10 Sheets of Drawings	☐ Small Entity Statement		<b>⊃</b> .
☑ Formal ☐ Informal	□ IDS/1449/Refs.		
1 Pages of Abstract	☐ Priority Documents		PTÖ
Express Mail Certificate	•	10/610/	iak .
☐ Amendment/Response	☐ Check \$	S constant that	
☐ Transmittal ☐ Ext. of Time	· • .		
☐ Trademark/Service App.		06/30/	03
☐ Specimens ☐ St. of Use	PRCEID	T ACKNOWLEDGED	41.
Other:	_ KECEAI	ACKNOW LEDGED	~NG
		رها	, ,7 3.
		i	` <003
··			<del>-</del>
	<del></del>		